



## PRIVACY POLICY

April 2023

### What does The Clarius Group do with your personal information?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. All financial companies need to share clients' personal information to run their everyday business. Please read this notice carefully to understand what we do.

The types of personal information we collect and share depend on the services we provide you. This information can include:

- Social Security number and income
- Risk tolerance and investment experience
- Account balances and transaction history

When you are *no longer* our client, we continue to share your information as described in this notice.

### How does The Clarius Group protect your personal information?

To protect your personal information from unauthorized access and use, we use physical, electronic, and procedural safeguards that comply with applicable regulatory standards. These measures include computer safeguards, such as requiring a VPN connection and dual-factor authentication to access the data; and secured files and office locations. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you.

### How does The Clarius Group collect your personal information?

We collect your personal information, for example, when you:

- Open an account or deposit money
- Seek advice about your investments or enter into an investment advisory contract
- Tell us about your investment portfolio

We also collect your personal information from other companies.

Reasons we can share your personal information	Does The Clarius Group share the information?	Can you limit this sharing?
<b>For delivery of our core services –</b> Examples include, but are not limited to, executing investment transactions, maintaining your accounts, and responding to court orders	Yes	No
<b>For delivery of additional services –</b> Examples include, but are not limited to, coordinating with your accountant, attorney, and insurance agent	Yes	Yes
<b>For our marketing purposes –</b> To offer our products and services to you	Yes	No



Reasons we can share your personal information	Does The Clarius Group share the information?	Can you limit this sharing?
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes – Information about your transactions, experiences, and creditworthiness	No	We do not share
For non-affiliates to market to you	No	We do not share

### Why can't you limit all sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> <li>■ <i>We have no affiliates.</i></li> </ul>
<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> <li>■ <i>We do not share your personal information with non-affiliates so that they can market to you.</i></li> </ul>
<b>Joint marketing</b>	A formal agreement between non-affiliated companies that together market products or services to you. <ul style="list-style-type: none"> <li>■ <i>We do not jointly market products or services.</i></li> </ul>

### Other important information

You may have additional privacy protections under certain state laws. When applicable, we comply with all such state laws.

#### **Information for California, Maine, Nevada, and Vermont Customers:**

In response to applicable state law, if the mailing address provided for your account is in California, Maine, Nevada, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

Nevada law requires us to disclose that you may request to be placed on our “do not call” list at any time by calling us at 206-462-7400. To obtain further information, contact the Bureau of Consumer Protection, Office of the Nevada Attorney General at 555 E Washington Ave, Ste 3900, Las Vegas, NV 89101; phone 702-486-3131; email [bcpinfo@ag.state.nv.us](mailto:bcpinfo@ag.state.nv.us).

### Questions?

You may contact us via phone at 206.462.7400, via email at [compliance@clariusgroup.com](mailto:compliance@clariusgroup.com), or in writing at 999 Third Avenue, Suite 3050, Seattle, WA, 98104.